

INDIANA UTILITY REGULATORY COMMISSION

Applying for a Certificate of Territorial Authority for WATS/TOLL

To be a reseller of interexchange intrastate telecommunications services, e.g., WATS and other toll-type services, one must subsequently be granted a Certificate of Territorial Authority (CTA) by the Indiana Utility Regulatory Commission. Although the Commission still maintains its jurisdiction with respect to the issuance, expansion, and transfer of reseller CTAs and payment of the annual public utility fee, it relaxed certain other requirements in its Orders in Cause No. 38149.

The historical requirement is that a reseller file and maintain a tariff at the Commission was eliminated by the Fifth Supplemental Order. However, customer notification requirements (10-days for changes of rates, 30-days for new services) remain in effect as set forth in the January 20, 1988 Order and Third Supplemental Order.

The Commission further relaxed its regulation over resellers in its Seventh supplemental Order dated January 14, 1998. In that Order the Commission found that intrastate IXC resellers could submit its request for authority through an application instead of the formal process of petitioning the Commission to obtain approval for CTAs, mergers, acquisitions, stock, stock issues and transfer, name changes, and voluntary cancellation of CTAs.

It should also be noted that the Commission determined in its Supplemental Order in Cause No. 38149, issued February 1, 1989, that Alternative Operator Services (AOS) cannot be provided under a reseller CTA. Instead, two separate CTAs are required; one to provide resold services under a relaxed regulatory status--the other to provide AOS as a regulated tariffed offering.

Mail Applications directly to:

**Indiana Utility Regulatory Commission
Tariff Administrator
302 W. Washington Street
Suite E-306
Indianapolis, IN 46204**

You should submit an original and one copy, as noted in the January 20, 1988 Order, you must send a copy of your petition to the Office of Utility Consumer Counselor as well as to each local exchange company operating in the territory where you are seeking certification; these addresses are attached. There is no filing fee and applications are processed and returned on average within 3 weeks. However, the processing time can take longer depending on what the IURC is working on at the time

Also, as noted in the January 20, 1988 Order, you must send a copy of your petition to the Office of Utility Consumer Counselor as well as to each local exchange company (leclist.doc) operating in the territory where you are seeking certification; these addresses are attached.

QUESTIONS

Petitioning parties with questions regarding any of the above information should contact the IURC's Tariff Administrator.

**IURC
Telecommunications Division - Tariff Administrator
302 West Washington Street, Room E306
Indianapolis, Indiana 46204
317.232.5559**